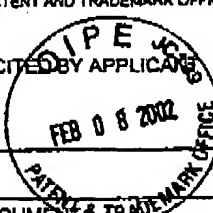


|                                                                                                                                                                                                                                              |    |                                                            |          |                                              |                       |                                                                  |                               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------------------------------------------------------|----------|----------------------------------------------|-----------------------|------------------------------------------------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)                                                                                                                                                                                                                  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>213260US0                 |                       | SERIAL NO.<br>10/024,379                                         |                               |
| OST OF REFERENCES CITED BY APPLICANT<br>JAN 21 2004                                                                                                                                                                                          |    |                                                            |          | APPLICANT<br>Hans RIES, et al.               |                       |                                                                  |                               |
|                                                                                                                                                                                                                                              |    |                                                            |          | FILING DATE<br>December 21, 2001             |                       | GROUP<br>1772                                                    |                               |
| U.S. PATENT DOCUMENTS                                                                                                                                                                                                                        |    |                                                            |          |                                              |                       |                                                                  |                               |
| EXAMINER<br>INITIAL                                                                                                                                                                                                                          |    | DOCUMENT<br>NUMBER                                         | DATE     | NAME                                         | CLASS                 | SUB<br>CLASS                                                     | FILING DATE<br>IF APPROPRIATE |
|                                                                                                                                                                                                                                              | AA |                                                            |          |                                              |                       |                                                                  |                               |
|                                                                                                                                                                                                                                              | AB |                                                            |          |                                              |                       |                                                                  |                               |
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| FOREIGN PATENT DOCUMENTS                                                                                                                                                                                                                     |    |                                                            |          |                                              |                       |                                                                  |                               |
|                                                                                                                                                                                                                                              |    | DOCUMENT<br>NUMBER                                         | DATE     | COUNTRY                                      | TRANSLATION<br>YES NO |                                                                  |                               |
| M.P.                                                                                                                                                                                                                                         | AO | EP 1065048                                                 | 01-03-01 | Europe (Abstract only - see Derwent Report)  |                       | ✓                                                                |                               |
| M.P.                                                                                                                                                                                                                                         | AP | BR 2000-002904                                             | 01-30-01 | Britain (Abstract only - see Derwent Report) |                       | ✓                                                                |                               |
| M.P.                                                                                                                                                                                                                                         | AQ | CZ 2000002436                                              | 02-14-01 | CZ (Abstract only - see Derwent Report)      |                       | ✓                                                                |                               |
| M.P.                                                                                                                                                                                                                                         | AR | DE 10005641                                                | 01-04-01 | Germany (Abstract only - see Derwent Report) |                       | ✓                                                                |                               |
| M.P.                                                                                                                                                                                                                                         | AS | JP 2001071436                                              | 03-21-01 | Japan (Abstract only - see Derwent Report)   |                       | ✓                                                                |                               |
| M.P.                                                                                                                                                                                                                                         | AT | CN 1290601                                                 | 04-11-01 | China (Abstract only - see Derwent Report)   |                       | ✓                                                                |                               |
| M.P.                                                                                                                                                                                                                                         | AU | KR 2001049644                                              | 06-15-01 | Korea (Abstract only - see Derwent Report)   |                       | ✓                                                                |                               |
|                                                                                                                                                                                                                                              | AV |                                                            |          |                                              |                       | ✓                                                                |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                                                                      |    |                                                            |          |                                              |                       |                                                                  |                               |
| M.P.                                                                                                                                                                                                                                         | AW | Derwent Search Result for patent family of U.S. 6,355,358  |          |                                              |                       |                                                                  |                               |
|                                                                                                                                                                                                                                              | AX |                                                            |          |                                              |                       |                                                                  |                               |
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|                                                                                                                                                                                                                                              | AZ |                                                            |          |                                              |                       | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner <i>Moni Patten</i>                                                                                                                                                                                                                  |    |                                                            |          | Date Considered <i>5/19/04</i>               |                       |                                                                  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |                                                            |          |                                              |                       |                                                                  |                               |

SHEET 1 OF 1

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------------------------------------------------------|--------|--------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Form PTO 1449<br>(Modified)                                                                                                                                                                                                                 |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |        | ATTY DOCKET NO.<br>213260US0   |                                   | SERIAL NO.<br>NEW APPLICATION |                               |
| LIST OF REFERENCES CITED BY APPLICANT                                                                                                                                                                                                       |    |                                                            |        | APPLICANT<br>Hans RIES, et al. |                                   |                               |                               |
|                                                                                                                                                                                                                                             |    |                                                            |        | FILING DATE<br>HEREWITH        |                                   | GROUP<br>1712                 |                               |
| U.S. PATENT DOCUMENTS                                                                                                                                                                                                                       |    |                                                            |        |                                |                                   |                               |                               |
| EXAMINER<br>INITIAL                                                                                                                                                                                                                         |    | DOCUMENT<br>NUMBER                                         | DATE   | NAME                           | CLASS                             | SUB<br>CLASS                  | FILING DATE<br>IF APPROPRIATE |
|                                                                                                                                                                                                                                             | AA |                                                            |        |                                |                                   |                               |                               |
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| FOREIGN PATENT DOCUMENTS                                                                                                                                                                                                                    |    |                                                            |        |                                |                                   |                               |                               |
|                                                                                                                                                                                                                                             |    | DOCUMENT<br>NUMBER                                         | DATE   | COUNTRY                        | TRANSLATION<br>YES NO             |                               |                               |
|                                                                                                                                                                                                                                             | AO | EP 0 464 27-1 A1                                           | 1/8/92 | EUROPE                         | x                                 |                               |                               |
|                                                                                                                                                                                                                                             | AP |                                                            |        |                                |                                   |                               |                               |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                                                                     |    |                                                            |        |                                |                                   |                               |                               |
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| Examiner<br><i>Wm. Patterson</i>                                                                                                                                                                                                            |    |                                                            |        |                                | Date Considered<br><i>4/29/03</i> |                               |                               |
| Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |                                                            |        |                                |                                   |                               |                               |

SHEET 1 OF 1

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| Form PTO 1449<br>(Modified)                                                                                                                                                                                                                   |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>213260US0     |                                | SERIAL NO.<br>10/024,379 |                               |
| LIST OF REFERENCES CITED BY APPLICANT<br>                                                                                                                    |    |                                                            |          | APPLICANT<br>Hans RIES, et al.   |                                |                          |                               |
|                                                                                                                                                                                                                                               |    |                                                            |          | FILING DATE<br>December 21, 2001 |                                | GROUP<br>1772            |                               |
| U.S. PATENT DOCUMENTS                                                                                                                                                                                                                         |    |                                                            |          |                                  |                                |                          |                               |
| EXAMINER<br>INITIAL                                                                                                                                                                                                                           |    | DOCUMENT<br>NUMBER                                         | DATE     | NAME                             | CLASS                          | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |
| M.P.                                                                                                                                                                                                                                          | AA | 5,219,003                                                  | 06/15/93 | KERSCHBAUMER                     | 138                            | 137                      | 2/27/91                       |
| M.P.                                                                                                                                                                                                                                          | AB | 6,177,162                                                  | 01/23/01 | SIOUR et al.                     | 428                            | 36.91                    | 3/11/96                       |
|                                                                                                                                                                                                                                               | AC |                                                            |          |                                  |                                |                          |                               |
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| FOREIGN PATENT DOCUMENTS                                                                                                                                                                                                                      |    |                                                            |          |                                  |                                |                          |                               |
|                                                                                                                                                                                                                                               |    | DOCUMENT<br>NUMBER                                         | DATE     | COUNTRY                          | TRANSLATION<br>YES NO          |                          |                               |
| M.P.                                                                                                                                                                                                                                          | AO | DE 40 06 870 C1                                            | 07/04/91 | GERMANY                          |                                |                          | X                             |
| M.P.                                                                                                                                                                                                                                          | AP | EP 0 731 308 A1                                            | 09/11/96 | EUROPE                           |                                |                          | X                             |
|                                                                                                                                                                                                                                               | AQ |                                                            |          |                                  |                                |                          |                               |
|                                                                                                                                                                                                                                               | AR |                                                            |          |                                  |                                |                          |                               |
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                                                                       |    |                                                            |          |                                  |                                |                          |                               |
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|                                                                                                                                                                                                                                               | AZ |                                                            |          |                                  |                                |                          |                               |
| Examiner <i>M. Patten</i>                                                                                                                                                                                                                     |    |                                                            |          |                                  | Date Considered <i>4/28/03</i> |                          |                               |
| * Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |                                                            |          |                                  |                                |                          |                               |

| Form PTO 1449<br>(Modified)                                                                                                                                                                                                                                                                                                |           | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |          | ATTY DOCKET NO.<br>213260US0     |                                 | SERIAL NO.<br>10/024,379           |                            |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------------------------------------------------------|----------|----------------------------------|---------------------------------|------------------------------------|----------------------------|
| LIST OF REFERENCES CITED BY APPLICANT<br><br><div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; margin: 10px auto;">                     RECEIVED<br/>AUG 14 2003<br/>PATENT &amp; TRADEMARK OFFICE                 </div> |           |                                                            |          | APPLICANT<br>Hans RIES, et al.   |                                 | RECEIVED<br>AUG 14 2003<br>GROUP 1 |                            |
|                                                                                                                                                                                                                                                                                                                            |           |                                                            |          | FILING DATE<br>December 21, 2001 |                                 |                                    |                            |
| U.S. PATENT DOCUMENTS                                                                                                                                                                                                                                                                                                      |           |                                                            |          |                                  |                                 |                                    |                            |
| EXAMINER INITIAL                                                                                                                                                                                                                                                                                                           | TRADEMARK | DOCUMENT NUMBER                                            | DATE     | NAME                             | CLASS                           | SUB CLASS                          | FILING DATE IF APPROPRIATE |
| M.R.                                                                                                                                                                                                                                                                                                                       | AA        | 5,219,003                                                  | 06/15/93 | KERSCHBAUMER                     | 132                             | 137                                | 2/27/91                    |
| M.R.                                                                                                                                                                                                                                                                                                                       | AB        | 6,177,162                                                  | 01/23/01 | SIOUR et al.                     | 428                             | 36.21                              | 5/11/96                    |
|                                                                                                                                                                                                                                                                                                                            | AC        |                                                            |          |                                  |                                 |                                    |                            |
|                                                                                                                                                                                                                                                                                                                            | AD        |                                                            |          |                                  |                                 |                                    |                            |
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| FOREIGN PATENT DOCUMENTS                                                                                                                                                                                                                                                                                                   |           |                                                            |          |                                  |                                 |                                    |                            |
|                                                                                                                                                                                                                                                                                                                            |           | DOCUMENT NUMBER                                            | DATE     | COUNTRY                          | TRANSLATION<br>YES NO           |                                    |                            |
| M.R.                                                                                                                                                                                                                                                                                                                       | AO        | DE 40 06 870 C1                                            | 07/04/91 | GERMANY                          |                                 |                                    | X                          |
| M.R.                                                                                                                                                                                                                                                                                                                       | AP        | EP 0 731 308 A1                                            | 09/11/96 | EUROPE                           |                                 |                                    | X                          |
|                                                                                                                                                                                                                                                                                                                            | AQ        |                                                            |          |                                  |                                 |                                    |                            |
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|                                                                                                                                                                                                                                                                                                                            | AV        |                                                            |          |                                  |                                 |                                    |                            |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                                                                                                                                                    |           |                                                            |          |                                  |                                 |                                    |                            |
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| Examiner <i>Manhattan</i>                                                                                                                                                                                                                                                                                                  |           |                                                            |          |                                  | Date Considered <i>10/20/03</i> |                                    |                            |

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\* Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

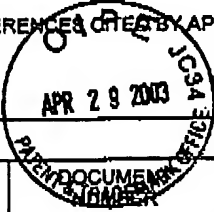


SHEET 1 OF 1

| Form PTO 1449<br>(Modified)                                             |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |            | ATTY DOCKET NO.<br>213260US0     |                                | SERIAL NO.<br>10/024,379 |                               |
|-------------------------------------------------------------------------|----|------------------------------------------------------------|------------|----------------------------------|--------------------------------|--------------------------|-------------------------------|
| LIST OF REFERENCES CITED BY APPLICANT                                   |    |                                                            |            | APPLICANT<br>Hans RIES, et al.   |                                |                          |                               |
|                                                                         |    |                                                            |            | FILING DATE<br>December 21, 2001 |                                | GROUP<br>1755            |                               |
| U.S. PATENT DOCUMENTS                                                   |    |                                                            |            |                                  |                                |                          |                               |
| EXAMINER<br>INITIAL                                                     |    | DOCUMENT<br>NUMBER                                         | DATE       | NAME                             | CLASS                          | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE |
| M.P.                                                                    | AA | 5,869,190                                                  | 02/09/99   | G. STOEPPELMANN                  | 428                            | 474.4                    | 8/4/97                        |
| M.P.                                                                    | AB | 5,404,915                                                  | 04/11/95   | J. MUEGGE, et al.                | 132                            | 137                      | 11/10/92                      |
| M.P.                                                                    | AC | 6,335,101                                                  | 01/01/2002 | H. HAEGER, et al.                | 428                            | 475.2                    | 2/22/02                       |
|                                                                         | AD |                                                            |            |                                  |                                |                          |                               |
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|                                                                         | AN |                                                            |            |                                  |                                |                          |                               |
| FOREIGN PATENT DOCUMENTS                                                |    |                                                            |            |                                  |                                |                          |                               |
|                                                                         |    | DOCUMENT<br>NUMBER                                         | DATE       | COUNTRY                          | TRANSLATION<br>YES NO          |                          |                               |
| M.P.                                                                    | AO | 1 031 411                                                  | 08/30/2000 | EUROPE (with corr. US 6,335,101) |                                |                          | X                             |
| M.P.                                                                    | AP | WO 99/46333                                                | 09/16/99   | WIPO                             |                                |                          | X                             |
| M.P.                                                                    | AQ | 0 785 098                                                  | 10/02/96   | EUROPE                           |                                |                          | X                             |
| M.P.                                                                    | AR | 0 435 787                                                  | 07/03/91   | EUROPE                           |                                |                          | X                             |
|                                                                         | AS |                                                            |            |                                  |                                |                          |                               |
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|                                                                         | AU |                                                            |            |                                  |                                |                          |                               |
|                                                                         | AV |                                                            |            |                                  |                                |                          |                               |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |    |                                                            |            |                                  |                                |                          |                               |
|                                                                         | AW |                                                            |            |                                  |                                |                          |                               |
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|                                                                         | AY |                                                            |            |                                  |                                |                          |                               |
|                                                                         | AZ |                                                            |            |                                  |                                |                          |                               |
| Examiner <i>Mark Patterson</i>                                          |    |                                                            |            |                                  | Date Considered <i>4/12/02</i> |                          |                               |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 1 OF 1

|                                                                                                                                                                                                                                              |    |                                                            |         |                                  |                                 |                          |                                                                  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------------------------------------------------------|---------|----------------------------------|---------------------------------|--------------------------|------------------------------------------------------------------|
| Form PTO 1449<br>(Modified)                                                                                                                                                                                                                  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |         | ATTY DOCKET NO.<br>213260US0     |                                 | SERIAL NO.<br>10/024,379 |                                                                  |
| LIST OF REFERENCES CITED BY APPLICANT<br><br>                                                                                                               |    |                                                            |         | APPLICANT<br>Hans RIES, et al.   |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              |    |                                                            |         | FILING DATE<br>December 21, 2001 |                                 | GROUP<br>1755            |                                                                  |
| U.S. PATENT DOCUMENTS                                                                                                                                                                                                                        |    |                                                            |         |                                  |                                 |                          |                                                                  |
| EXAMINER<br>INITIAL                                                                                                                                                                                                                          |    |                                                            | DATE    | NAME                             | CLASS                           | SUB<br>CLASS             | FILING DATE<br>IF APPROPRIATE                                    |
| <i>M.P.</i>                                                                                                                                                                                                                                  | AA | 5,798,048                                                  | 8/25/98 | Ries                             | 210                             | 767                      | 5/28/98                                                          |
| <i>M.P.</i>                                                                                                                                                                                                                                  | AB | 6,451,395                                                  | 9/17/02 | Ries, et al.                     | 428                             | 26.8                     | 3/24/99                                                          |
| <i>M.P.</i>                                                                                                                                                                                                                                  | AC | 6,355,358                                                  | 3/12/02 | Böer, et al.                     | 428                             | 47.4                     | 6/23/00                                                          |
|                                                                                                                                                                                                                                              | AD |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AE |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AF |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AG |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AH |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AI |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AJ |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AK |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AL |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AM |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AN |                                                            |         |                                  |                                 |                          |                                                                  |
| GROUP 1700<br>APR 28 2003<br>RECEIVED                                                                                                                                                                                                        |    |                                                            |         |                                  |                                 |                          |                                                                  |
| FOREIGN PATENT DOCUMENTS                                                                                                                                                                                                                     |    |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              |    | DOCUMENT<br>NUMBER                                         | DATE    | COUNTRY                          | TRANSLATION<br>YES NO           |                          |                                                                  |
|                                                                                                                                                                                                                                              | AO |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AP |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AQ |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AR |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AS |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AT |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AU |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AV |                                                            |         |                                  |                                 |                          |                                                                  |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)                                                                                                                                                                      |    |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AW |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AX |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AY |                                                            |         |                                  |                                 |                          |                                                                  |
|                                                                                                                                                                                                                                              | AZ |                                                            |         |                                  |                                 |                          | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner <i>M. P. Patton</i>                                                                                                                                                                                                                 |    |                                                            |         |                                  | Date Considered <i>10/14/03</i> |                          |                                                                  |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |                                                            |         |                                  |                                 |                          |                                                                  |



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LIST OF RELATED CASES

|             | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Inventor/<br/>Applicant</u> |
|-------------|----------------------|------------------------------------|---------------------------------|--------------------------------|
| <i>m.l.</i> | 213260US0*           | 10/024,379                         | 12/21/01                        | RIES, et al.                   |
| <i>m.l.</i> | 243915US0 CONT       | 10/681,279                         | 10/09/03                        | SCHMITZ, et al.                |

*Mark Pottner*  
*5/13/04*

\*Present Application; listed for information  
NFO/tas



LIST OF RELATED CASES

|             | <u>Docket Number</u> | <u>Serial or<br/>Patent Number</u> | <u>Filing or<br/>Issue Date</u> | <u>Inventor/<br/>Applicant</u> |
|-------------|----------------------|------------------------------------|---------------------------------|--------------------------------|
| <i>m.p.</i> | ✓ 0689-0595-0        | 5,798,048                          | 08/25/98                        | RIES                           |
| <i>m.p.</i> | ✓ 0689-0761-0        | 09/079,696                         | 05/15/98                        | RIES, et al.                   |
| <i>m.p.</i> | 9350-0087-0          | 6,451,395                          | 09/17/02                        | RIES, et al.                   |
| <i>m.p.</i> | 192058US0            | 6,355,358                          | 03/12/02                        | BOER, et al.                   |
| <i>m.p.</i> | ✓ 201899US0          | 09/886,217                         | 06/22/01                        | BARTZ, et al.                  |
| <i>m.p.</i> | ✓ 211217US-0         | 10/024,386                         | 12/21/01                        | SCHMITZ, et al.                |
| <i>m.p.</i> | 213260US0*           | 10/024,379                         | 12/21/01                        | RIES, et al.                   |

*Mac Lottan*  
*5/12/04*

\*Present Application; listed for information  
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